IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. :10/660,382 Confirmation No. 6022

Applicant :Graetz et al. Filed :09/10/2003

TC/A.U. :1745

Examiner :Lee, Cynthia K

For :High-Capacity Nanostructured Silicon and Lithium Alloys Thereof

Docket No. :26-06 Customer No.:23713

Commissioner for Patents MAIL STOP AMENDMENT P.O. Box 1450 Alexandria, VA 22313-1450 CERTIFICATE OF EFS-WEB FILING

I hereby certify that this correspondence is being filed with the USPTO EFS-WEB system.

May 4, 2006 /nicholascaruso/
Date Nicholas Caruso

INFORMATION DISCLOSURE STATEMENT

Sir:

The Examiner is respectfully requested to consider the references, copies enclosed, which may qualify as prior art. For the Examiner's convenience, the references are listed on the attached Patent and Trademark Office form PTO-1449. Pursuant to 37 C.F.R. 1.98(a)(2)(ii), copies of cited U.S. patents and U.S. patent application publications are not included, but will be provided upon request. Copies of Thirty references are enclosed herewith.

This information is cited in a spirit of forthrightness and cooperation to enable the applicants to obtain that measure of protection for the invention to which there is entitlement. However, no representation is made that the listed art actually qualifies as prior art under the patent statute and the mere use of PTO-1449 is not an admission that all listed references are prior art. No representation is made that applicants know of the best art.

The examiner is advised of the following co-owned U.S. Patent Applications:10/829,598 filed 04/22/2004 and 11/253,360 filed 10/18/2005, which may be considered relevant to the subject matter of the present application.

It is believed that this submission does not require the payment of a fee. If this is not correct, please charge any required fee to deposit account no. 07-1969.

Respectfully submitted,

/sbbarone/

Stephen B. Barone Reg. No. 53,968

GREENLEE, WINNER AND SULLIVAN, P.C. 4875 Pearl East Circle, Second Floor Boulder, CO 80301 Telephone: (303) 499-8080 Facsimile: (303) 499-8089

Attemay dealert No. 20.00

E-mail: winner@greenwin.com

Attorney docket No. 26-06 May 4, 2006